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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

KOKURA et al.

THADEMAN

829-629

Serial No.

10/511,427

Group:

2871

Filed:

October 15, 2004

Examiner:

Atty. Ref.:

unknown

For:

SUBSTRATE, LIQUID CRYSTAL DISPLAY DEVICE WITH THE SAME, AND MANUFACTURING METHOD

THEREOF

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Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

冈 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)** [within 3 months of filing or prior to 1st Office Action] N/C 2: Statement Pursuant to 37 CFR 1.97(c) N/C [before Final Office Action or Allowance] 3. Fee Payment Pursuant to 37 CFR 1.97(c) \$180.00 [before Final Office Action or Allowance] 4. Pursuant to 37 CFR 1.97(d) \$180.00 [before Issue Fee payment]

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

- A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.
 - a) U.S. Patent 6,034,748 and U.S. Patent 6,310,667 claim priority to JP application no. 1997-88563 and JP 1998-40167, which correspond to the KR 1998-081122 and the KR 1999-72860 references, respectively.

This paper is submitted in accordance with:

KOKURA et al. Serial No. 10/511,427

| \boxtimes | 6. | 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action] | | | | | | |
|-------------|-------|--|---|------------------------------------|--|--|--|--|
| | 7. | 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and | | | | | | |
| | | a) | The required Statement made in item 9 b | elow; or | | | | |
| | | b) | The \$180.00 fee specified in 37 CFR §1. Disclosure Statement is authorized in iter | <u>-</u> , | | | | |
| | 8. | 37 C | CFR §1.97(d): [before issue fee payment]; | fee payment]; and | | | | |
| | | a) | The fee (\$180.00) required by 37 CFR §1 | 1.17(p) is submitted herewith; and | | | | |
| | | b) | The required Statement is stated in item 9 | e below. | | | | |
| | 9. | Statement under 37 CFR 1.97(e) | | | | | | |
| | | a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or | | | | | | |
| | | b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. | | | | | | |
| \boxtimes | 10. | Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed. | | | | | | |
| | | | Respectfully | submitted, | | | | |
| | | | NIXON & V. | NIXON & VANDERHYE P.C. | | | | |
| June | 1, 20 | <u>06</u> | By: Jak | H. Warren Burnam, Jr. | | | | |
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| • | | • |) 816-4000 816-4100 | | | | | |

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| *EXAMINER | WIAT | <u>U.</u> | S. PATENT DOCUMENTS | | | FILING | DATE | |
| INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS_ | SUBCLASS | | | |
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| | Korean Office Action | mailed April 2 | 26, 2006 in corresponding Korea | an application no. | 10-2004-70 |)16603 | | |
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| *Examiner | | | Date Considere | ed | | | | |
| Examiner: Initia | I if reference considered, whether | or not citation is in c | onformance with MPEP 609; Draw line throu | gh citation if not in confo | rmance and not | considered. | Include | |
| copy of this form | with next communication to appli | vauvii. | | | Form PTO-FB- | A820 (Also | PTO-144 | |
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